**Original Research** 

# Optimization and Performance of Moderate Combined Alkali and Microwave Pretreatment for Anaerobic Digestion of Waste-Activated Sludge

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#### Abstract

For pretreating waste-activated sludge, the combined alkali and microwave pretreatment is a promising hybrid method. To make this pretreatment more economical, moderate conditions were applied for central composite design of the experiments. A quadratic model was established to describe the influence of NaOH dosage and microwave processing time on methane production. The optimal condition was 0.12 g NaOH/g TS treatment for 24 h and 240 W microwave treatment for 10 min. Either single or hybrid pretreatment can significantly accelerate the hydrolysis, but the degree of degradation increases positively as correlated with treatment intensity. Comparing to untreated sludge, the methane yields of microwave-, alkali-, and combined-treated increased to 1.9, 3.4, and 4.6 times, respectively. The SCOD removal rates of the three kinds of treated sludge were 20.6%, 23.0%, and 36.5%, respectively. The single microwave pretreatment efficiently broke the sludge flocs and promoted the release of biodegradable organics as well as nutrients, but there was no advantage in methane production. The existence of NaOH not only eliminated the inhibition caused by microwave, but also improved the degree of degradation.

Keywords: sludge, microwave, alkaline, anaerobic digestion, pretreatment

#### Introduction

The activated sludge process is widely applied for treating municipal or industrial wastewater at wastewater treatment plants (WWTPs). The biggest challenge of this process is the large amount of waste-activated sludge (WAS) byproduct. In China, the treatment capacity for urban sewage increased from 74 million m<sup>3</sup>/d in 2004 to 151 million m<sup>3</sup>/d in 2014 [1], leading to a rapid

increase of WAS. The treatment and disposal of WAS cost up to 50% of the operating expense in WWTPs [2].

The main purpose of sludge treatment is to remove degradable material, water, and pathogens, thus reducing volume and mass of sludge as well as preventing pollution [3]. Anaerobic digestion (AD), which can not only achieve the above-stated purpose but also produce biogas as energy, is the most frequently used method for WAS treatment [4]. WAS consists of microbial cells, organic and inorganic matters, which are agglomerated as compact flocs by extracellular polymeric substances (EPS) and cations [5]. This composition and structure is

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resistant to AD due to slow and incomplete hydrolysis, resulting in long retention time (20-50 days) and low degradation efficiency (20-50%) [5-6].

A pretreatment method can be employed to disintegrate the flocs so that the hydrolysis rate and the degradation degree of WAS will be improved. Some reviews have summarized and compared different kinds of mechanical, thermal, chemical, and biological treatment methods [3, 5, 7]. The low-impact pretreatment methods such as mechanical or biological generally increase speed of degradation, while high-impact methods such as thermal hydrolysis or oxidation are likely to improve both speed and degree of degradation [3]. Every single pretreatment method has its advantages and disadvantages. Hybrid techniques, which usually combine more than one physical and chemical method to achieve synergistic effects, are proven to further enhance the efficacy of AD by higher biogas production and solids reduction [5, 8]. One of the promising hybrid methods is the combination of alkali and microwave pretreatment.

Alkali pretreatment is relatively simple, effective, and energy efficient, but when used alone it is usually unavoidably to add a high concentration of alkali in order to disrupt WAS completely. The excessive alkali will not only increase operating cost, but also bring corrosion and harm the active microorganisms in AD. Therefore, the treated sludge needs to be neutralized subsequently. While combined with a thermal pretreatment, chemical consumption can be reduced. Similarly, since alkali is helpful for sludge solubilization, heating efficiency can be improved significantly. Microwave is a form of electromagnetic radiation with a frequency range from 300 MHz to 300 GHz, corresponding to wavelengths from 1 m to 1 mm [9]. Unlike the conventional heating methods, microwaves generate heat through the realignment of dipoles, which increases temperature uniformly and rapidly [7]. Besides the thermal effect, another potential mechanism of the microwave is the "athermal effect," referring to an effect that is unrelated to temperature rise (i.e., bond breakage caused by dipolar rotation of molecules) [7, 10]. The athermal effect is likely to dominate a low-intensity pretreatment, while the thermal effect plays a major role in a higher intensity pretreatment [11].

The combined alkali and microwave pretreatment has been proven to increase sludge solubilization and kill pathogens effectively [5, 7]. But whether pretreatment can improve the dewatering performance of the sludge is questionable. Doğan et al. [12] applied microwave (600 W, 16 min) and NaOH (pH 12, 30 min) pretreatment for WAS. Compared to the untreated sludge, methane production increased 53% and the digested sludge showed 22% improved dewaterability. Jang et al. [13] found that the solubilization in pretreated sludge was 18 times higher than in raw sludge, but saw no improvement in digested sludge dewaterability.

This hybrid technique is in its infancy. Further cost reduction and performance improvement are

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Parameters	WAS	Seeding sludge
TS (g/L)	27.4	33.6
VS (g/L)	11.8	9.5
COD (mg/L)	22,413	6,426
NH <sub>3</sub> -N (mg/L)	15.7	56.4

Table 1. Properties of WAS and seeding sludge.

important for its development. In this study, moderate conditions for alkali and microwave pretreatment were applied so that the energy consumption and operating expense could be reduced. Meanwhile, the treated WAS can be fed into digesters without neutralization. The method of central composite design (CCD) was employed for experiment design and condition optimization. The characteristics of digestion process and sludge were analyzed to evaluate the effects of the single as well as combined alkali and microwave pretreatment.

# **Materials and Methods**

# Sludge

The WAS used in this study was taken from a secondary sedimentation tank of a WWTP in Beijing, China. The seeding sludge was taken from a mesophilic anaerobic tank of WAS. The sludge samples were stored at 4°C to maintain freshness. The properties of WAS and seeding sludge are listed in Table 1.

# Optimizing Pretreatment and Modeling

The microwave pretreatment was performed in a microwave equipment with a supplied power of 240 W. Taking NaOH dosage (Factor A) as well as microwave processing time (Factor B) as the independent variables and methane production as the dependent variable, CCD was applied by the software Design Expert 8.0. The ranges of NaOH dosage and microwave processing time were set to be 0.07-0.20 g/g TS and 4-10 min, respectively. The numbers of non-center points and replicated center points were 8 and 4, respectively. The  $\alpha$  value was 1.414. Based on these settings, 12 runs of designed experiments are given in Table 2.

NaOH was added into WAS for 24 hours. Then the sludge was treated by microwave, and the volume of each treatment was 50 mL. The experiments of batch AD were taken in 250 mL bottles, with a volume ratio of seeding sludge and treated WAS of 1:1 (60 mL to 60 mL). The bottles were incubated for 10 days at 120 rpm and 37°C. With the results of methane production, the model was constructed and analyzed by the Design Expert software.

Table 2. Central composite design of combined alkali and microwave pretreatment for AD of WAS.

Run No.	Factor A: NaOH dosage (g/g TS)	Factor B: microwave processing time (min)	Methane production (mL)
1	0.04	7.00	121
2	0.07	4.00	126
3	0.07	10.00	156
4	0.14	2.76	132
5	0.14	7.00	152
6	0.14	7.00	162
7	0.14	7.00	149
8	0.14	7.00	169
9	0.14	11.24	185
10	0.20	4.00	104
11	0.20	10.00	123
12	0.23	7.00	97

# Batch AD in 1.5 L Fermenters

Through the model, we obtained the optimal conditions for combined alkali and microwave pretreatment. A set of four 1.5 L fermenters (1.5BG-4, Baoxing Bioengineering Equipment Company, Shanghai, China) were used to compare the batch AD of the untreated, microwave-, alkali-, and combined-treated WAS. The volume ratio of seeding sludge and WAS was 1:1 (360 mL to 360 mL). The digestion was operated for 10 days at 120 rpm and 37°C. pH was detected automatically. Every day, 10 mL liquid was sampled for analysis of soluble chemical oxygen demand (SCOD), volatile fatty acids (VFAs), and ammonia nitrogen (NH,-N). Before digestion, the structures of the untreated and treated sludge were observed by environmental scanning electron microscopy (ESEM, FEI Quanta 200, Holland). The main elements of the sludge before and after digestion were measured by energy-dispersive spectrometry (EDS) [14].

#### Analytical Methods

Total solid (TS), volatile solid (VS), SCOD, and NH<sub>3</sub>-N were analyzed according to standard methods [15]. The samples were filtered through 0.45  $\mu$ m filter before SCOD determination. Biogas yield was measured by the gas-collecting method of drainage-saturated NaHCO<sub>3</sub>. Methane concentration was analyzed by gas chromatography with a thermal conductivity detector and a TDX-01 packed column, using H<sub>2</sub> as the carrier gas. The temperatures of the injector, column, and detector were 120°C, 100°C, and 150°C, respectively.

#### **Results and Discussion**

# Modeling and Optimizing Combined Alkali and Microwave Pretreatment for WAS

For NaOH dosage, too little would not achieve good effect for cell dissolution, while too much might cause sodium ion and pH inhibition on AD. In order to reduce operating costs and avoid subsequent neutralization,

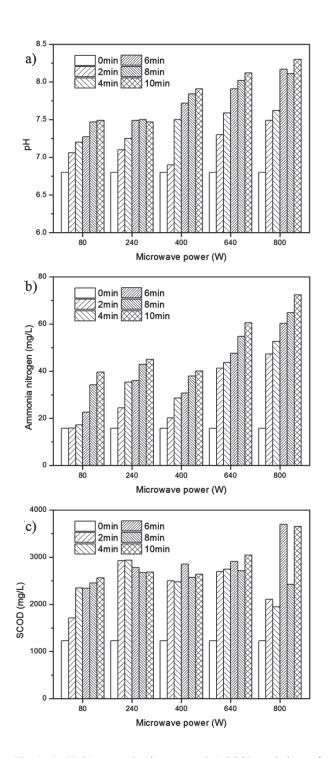


Fig. 1. a) pH, b) ammonia nitrogen, and c) SCOD variations of WAS after treatment by microwave with different power and irradiation time.

Source	Sum of squares	df	Mean square	F-value	p-value
Model	7,364.19	3	2,454.73	37.73	< 0.0001
А	988.82	1	988.82	15.20	0.0046
В	1,920.55	1	1,920.55	29.52	0.0006
A <sup>2</sup>	4,454.82	1	4,454.82	68.47	< 0.0001
Residual	520.48	8	65.06		
Lack of fit	266.48	5	53.30	0.63	0.6968
Pure error	254.00	3	84.67		
Cor total	7,884.67	11			

Table 3. ANOVA for the model of combined alkali and microwave pretreatment.

Coefficient of determination  $R^2 = 0.934$ 

a longer processing time and lower NaOH concentration was preferred. The range of NaOH dosage was chosen according to previous papers [16-17], and a processing time of 24 h was applied.

The applied microwave power for treatment is 240 W, which is moderate compared to conditions in other studies [4, 18]. This value is determined based on preliminary experiments. A power range of 80 W to 800 W was used to treat WAS, and the variations of pH and ammonia

nitrogen as well as SCOD are shown in Fig. 1. With the increase in microwave power and processing time, the pH and ammonia nitrogen of the treated sludge increased significantly, but still within the range of appropriate conditions for AD. This change happened mainly because the intracellular substances including enzymes released, resulting in pH change and conversion of organic nitrogen compounds to ammonia nitrogen [4, 12, 19]. The SCOD also increased after microwave treatment, but when

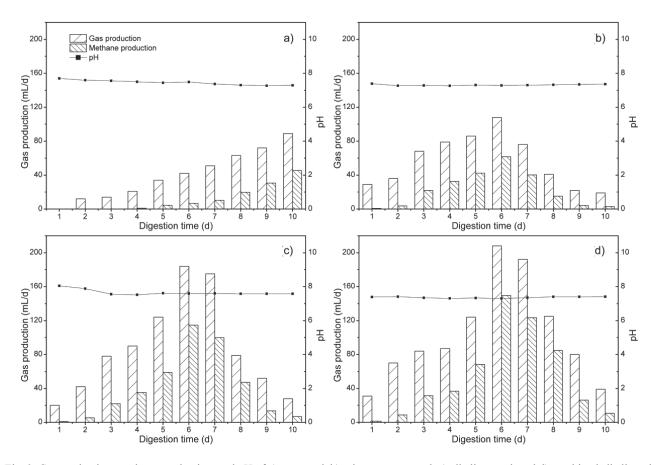


Fig. 2. Gas production, methane production, and pH of a) untreated, b) microwave-treated, c) alkali-treated, and d) combined alkali- and microwave-treated WAS during batch AD.

the power was over 240 W, SCOD did not significantly increase with enhanced processing power. Under the power of 800 W, SCOD fluctuated obviously, which might be due to the severe boiling of WAS. Therefore, the power of 240 W is an economical and efficient choice.

Twelve experiments of AD were carried out under the designed conditions, and the obtained methane yields are listed in Table 2. According to these results, the quadratic model was suggested by the software, and A and B, as well as  $A^2$ , were significant model terms. Therefore, the model equation is as follows in Equation 1:

$$Y = 32.331 + 1480.9 \times A-6118.3 \times A^2 + 5.1647 \times B$$
(1)

...where Y is the predicted value of methane production (mL), and A and B represent Factor A (NaOH dosage, range of value: 0.07-0.20 g/g TS) and Factor B (microwave processing time, range of value: 4-10 min).

The analysis of variance (ANOVA) for this model is given in Table 3. The model F-value of 37.73 implies the model is significant. The Lack of Fit F-value of 0.63 implies the Lack of Fit is not significant relative to the pure error. The coefficient of determination  $R^2$  is 0.934, which means that the model can explain up to 93.4% variability of the response. This analysis indicates the model is appropriate. As can be seen from Equation 1, in the range of microwave processing time, the longer the WAS is treated, the more methane it produces, while for NaOH dosage there is an optimum intermediate value. The optimization of the pretreatment conditions should be 0.12 g NaOH/g TS addition and 10 min microwave treatment.

# Effects of Pretreatment on AD Performance of WAS

As shown in Fig. 2, these pretreatment methods can promote AD of WAS. During the 10-day digestion, the methane yields of untreated WAS, microwave-, alkali-, and combined-treated were 15.4, 29.4, 52.8, and 70.6 mL/g VS, respectively. Compared to the former, the latter three increased to 1.9, 3.4, and 4.6 times, respectively. The peak of gas production appeared on day 6 for the treated sludge, while the untreated sludge had not peaked by day 10. That is, low impact pretreatment methods can also simultaneously accelerate the hydrolysis step, but the degree of degradation is positively related to the intensity of pretreatment. This result is consistent with the summary made by Carrère et al. [3]. During digestion, the pH value was kept stable at around 7.4. Only the sludge treated by single NaOH showed pH over 8.0 at the beginning, but it was reduced later. Although alkali was also added in the WAS treated by combined method, the pH value did

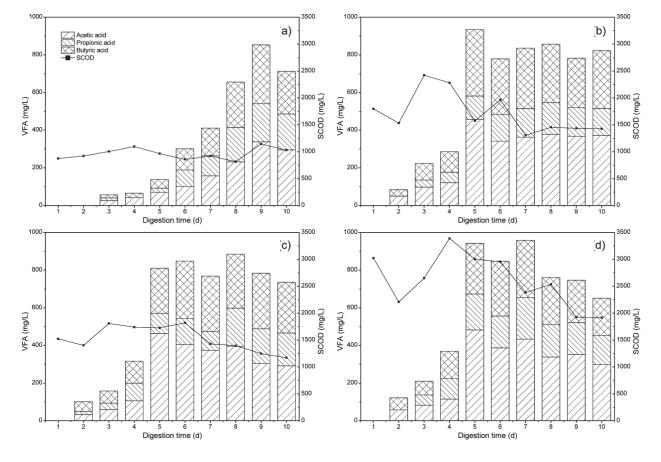


Fig. 3. VFA and SCOD of a) untreated, b) microwave-treated, c) alkali-treated, and d) combined alkali- and microwave-treated WAS during batch AD.

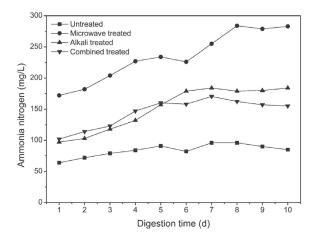


Fig. 4. Ammonia nitrogen of untreated and treated WAS during batch AD.

not increase, which might be due to microwaves releasing intracellular buffering substances.

The increase in SCOD after pretreatment can reflect the sludge disintegration degree [6, 19]. As can be seen from Fig. 3, when WAS was treated by the microwave, alkali, and combined methods, SCOD achieved 206%, 174% and 346% of that of untreated sludge, respectively. This indicates that under the effect of pretreatment, some extra- and intra-cellular biopolymers released from sludge flocs into the soluble phase [19]. After 10-day digestion, the SCOD removal rates of the three kinds of treated sludge were 20.6%, 23.0%, and 36.5%, respectively. The SCOD change showed a trend of increase in the early digestion, which may be due to the disrupted flocs, further releasing soluble organic matter. The SCOD removal rate of the untreated sludge was negative because its digestion had not reached the late stage in 10 days. VFAs, produced by fermentative bacteria, are important intermediate metabolites in AD. The conversion rate of VFAs to methane in descending order is: HAc, butyric acid (HBu), and propionic acid (HPa) [20]. In this study, the proportion of HAc was the highest, followed by HBu and HPa. The concentrations of VFAs were in proper ranges, which could not result in any acid inhibition.

Sewage sludge contains 2.4-5.0% TS nitrogen, existing mainly in the form of proteinaceous material [7]. Most pretreatment processes can cause nitrogen release [3]. As shown in Fig. 4, the concentration of  $NH_3$ -N increased after pretreatment, and as digestion progressed, the increase continued. The WAS treated by a single microwave showed the highest  $NH_3$ -N concentration, which was about three times that of the untreated sludge. Although the combined method included the same microwave treatment, the  $NH_3$ -N release slowed down due to NaOH addition. In this study, the  $NH_3$ -N concentrations were all below 300 mg/L and far from causing inhibition to AD [21].

For the WAS treated by single microwave, the value of VFA and SCOD was equivalent to that of single NaOH-treated sludge, but the methane yield was much lower,

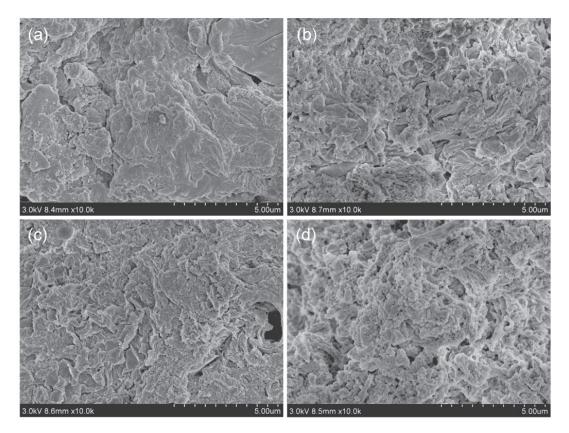


Fig. 5. ESEM images of a) untreated, b) microwave-treated, c) alkali-treated, and d) alkali- and microwave-treated WAS before AD.

Element	Weight %				
Element	Seeding sludge		Untreated WAS Microwave-treated WAS NaOH-trea		Combined-treated WAS
С	13.80	45.62	47.16	44.36	37.68
0	47.68	40.39	40.70	44.60	41.36
Na	0.19	0.53	0.57	1.97	1.49
Mg	2.97	0.68	0.68	1.72	1.09
Al	0.21	0.40	0.32	0.30	0.31
Si	0.31	1.00	0.81	0.63	0.72
S	0.58	1.82	1.83	1.10	3.84
Cl	0.00	0.11	0.00	0.26	0.18
K	0.26	0.82	0.59	0.97	1.49
Са	32.22	5.47	3.87	2.59	6.60
Fe	1.78	3.16	1.84	1.50	2.77
Р	0.00	0.00	1.63	0.00	2.48

#### Table 4. EDS of sludge before AD.

indicating that the activity of methanogenic bacteria had been inhibited. This can also be confirmed by the fact that the HAc content did not decrease during the later stage of AD (Fig. 3b). And based on the above analysis, the inhibitor should be neither acid nor ammonia.

# Effects of Pretreatment on Structure and Components of WAS

The surface structure of the sludge was observed under ESEM, as shown in Fig. 5. The sludge treated by combined method was more dispersed, followed by the microwave-treated sludge. Compared to the untreated sludge, the surface texture of the alkali-treated sludge increased significantly. These images indicate that the pretreatment process has an obvious effect on breaking the flocs of WAS.

In order to estimate the influence of pretreatment and digestion on elements, EDS was applied for semiquantitative analysis. The results are listed in Tables 4 and 5. In the untreated sludge, besides the vast majority of carbon and oxygen, calcium and iron were elements with relatively high contents. For the sludge treated by alkali, not only sodium but also magnesium increased. Phosphorus arose in the sludge after being treated by the microwave and hybrid methods, indicating that microwave pretreatment can promote its release. Similar to the element nitrogen, phosphorus is also a major

Table 5. EDS of sludge after AD.

Element	Weight %					
	Untreated WAS	Microwave treated WAS	NaOH treated WAS	Combined treated WAS		
С	21.22	29.29	31.40	44.98		
0	45.25	44.45	43.00	37.44		
Na	0.42	0.38	0.59	0.84		
Mg	4.33	1.75	2.00	0.71		
Al	0.52	0.30	0.42	0.39		
Si	1.23	0.68	1.67	0.88		
S	0.30	2.46	1.71	5.09		
Cl	0.00	0.00	0.38	0.15		
K	0.16	0.75	0.79	1.10		
Ca	25.95	17.52	15.47	6.70		
Fe	0.62	2.42	2.56	1.72		

nutrient that exists in sludge in the form of protein [7]. Some studies have proven that microwave treatment can rapidly enhance the release of phosphate from sludge to supernatant [22-23]. After AD, no phosphorus was detected as being used up. As the calcium content in the seeding sludge was high, the EDS results after AD showed high calcium content; but the sludge treated by the hybrid method was an exception, suggesting that this substrate could let AD make better use of calcium. The sulfur content of combined treated sludge after AD was higher than others, which might be caused by a greater release and degradation of S-containing material in WAS.

#### Conclusions

The pretreatment process can significantly improve the biogas yield for AD of sludge. In order to reduce the operating costs, a moderate combined alkali and microwave pretreatment method is studied and evaluated. The CCD method was applied for experimental design and a quadratic model was established. The optimal pretreatment condition was 0.12 g NaOH/g TS treatment for 24 h and 240 W microwave treatment for 10 min. Compared with the untreated sludge, either the single or hybrid pretreatments can accelerate the hydrolysis step, but the degree of degradation depends on treatment intensity. The combined alkali and microwave pretreatment showed the best effect, followed by the single alkali-treated method. The single microwave pretreatment can efficiently damage the sludge flocs and promote the release of biodegradable organics, but there is no advantage in methane production. The activity of methanogenic bacteria may get some kind of inhibition. Therefore, even under moderate conditions, the microwave is not suitable for individual pretreatment of WAS. But in the presence of NaOH, not only can the inhibition caused by microwave be eliminated, but also the degree of degradation can be further improved. This combined method can enhance pretreatment efficiency at low cost and may have prospects for practical applications. In further study, the inhibition mechanism of microwave pretreatment as well as the synergism between NaOH and the microwave should be investigated deeply.

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